

THE UNITED STATES PATENT AND TRADEMARK OFFICE

JONATHAN N. HOWARTH

SERIAL NO.: 10/029,329

FILED: DECEMBER 21, 2001

MICROBIOLOGICAL CONTROL IN

POULTRY PROCESSING

Commissioner for Patents Washington, D.C. 20231 Sir:

FIFTH SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Enclosed herewith for consideration by the Examiner is a fifth Supplemental Information Disclosure Form PTO/SB/08A together with a copy of each document listed thereon.

Respectfully Submitted

John F. Sieberth Reg. No. 17,704

Associate Attorney of Record

Wheeth,

Telephone: (225) 291-4600 Facsimile: (225) 291-4606

CERTIFICATE OF MAILING

I hereby certify that this paper (along with any referred to as being attached or enclosed) is being deposited on the date shown below with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

July 20, 2604

07/23/2004 BABRAHA1 00000068 010659 10029329

02 FC:1806 1

180.00 DA



PTO/SB/08A (10-01)
Approved for use through 10/31/2002. OMB 0651-0031
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB

Substitute for form 1449A/PTO Complete if Known INFORMATION DISCLOSURE 10/029,329 **Application Number** Filing Date 12/21/2001 STATEMENT BY APPLICANT **First Named Inventor** Howarth Fifth Supplemental Art Unit (use as many sheets as necessary) Examiner Name Sheet Attorney Docket Number SU-7222-B

U.S. PATENT DOCUMENTS							
Examiner	Cite No. ¹	Document Number	Publication Date	Name of Patentee or	Pages, Columns, Lines, Where Relevant Passages or Relevant		
Initials*		No.1	Number-Kind Code ² (if known)	MM-DD-YYYY	Applicant of Cited Document	Figures Appear	
			·				

	FOREIGN PATENT DOCUMENTS									
Examiner Initials*	Cite No. ¹	Foreign Patent Document	Publication Date	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear					
		Country -Number ⁴ -Kind Code ⁵ (if known)	1 мило мм 1			T⁵				
	FP-4	WO 01/53209 A2	07-26-2001	Albemarle Corporation						
			ļ			_				
			·			-				
ļ			 			-				
l i	l									

Examiner	Date	,
Signature	Considered	

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Applicant's unique citation designation number (optional). See Kinds Codes of USPTO Patent Documents at www.upsto.gov or MPEP 901.04. Senter Office that issued the document, by the two-letter code (WIPO Standard ST.3). For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. Applicant is to place a check mark here if English language Translation is attached.

Burden Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual.

if English language Translation is attached.

Burden Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.



Sheet

PTO/SB/08b(08-03) Approved for use through 07/31/2006. OMB 0651-0031
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB

Application Number

Substitute for form 1449/PTO

2

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

Fifth Supplemental

(use as many sheets as necessary)

of

3

		10/020,020
T	Filing Date	12/21/2001
	First Named Inventor	Howarth
	Group Art Unit	
	Examiner Name	
	Attorney Docket Number	SH_7222_B

Complete if Known

10/029 329

	OTHER PRIOR ART NON PATENT LITERATURE DOCUMENTS							
Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volurne-issue number(s), publisher, city and/or country where published.	T²					
	L-2	Blaser, Martin J., et al., "Inactivation of <i>Campylobacter jejuni</i> by Chlorine and Monochloramine", Applied and Environmental Microbiology, vol 51, no. 2, 1986, ppg 307-311.						
	L-3	Carr, Anitra C., "Differential reactivities of hypochlorous and hypobromous acids with pruified Escherichia coli phospholipid: formation of haloamines and halohydrins", Biochimica of Biophysica, 1392, 1998, ppg 254-264.						
	L-4	Dickens, J.A., et al., "Efficacy of an Herbal Extract on the Microbiological Quality of Broiler During a Simulated Chill", Poultry Science, 2000, vol 79, ppg 1200-1203.						
	L-5	Fabrizio, K.A., et al., "Comparison of Electrolyzed Oxidizing Water with Various Antimicorbial Interventions to Reduce Salmonella Species on Poultry", Poultry Science, 2002, vol 81, ppg 1598-1605.						
	L-6	Hawkins, Clare L., et al., "Hypochlorite- and Hypobromite-Mediated Radical Formation and Its Role in Cell Lysis", Archives of Biochemistry and Biophysics, vol 395, no. 2, November 15, 2001, ppg 137-145.						
	L-7	Kumar, Krishan, et al., "Kinetics and Mechanism of General-Acid-Assisted Oxidation of Bromide by Hypochlorite and Hypochlorus Acid", Inorg. Chem., 1987, vol 26, ppg 2706-2711.						
	L-8	Lillard, H.S., "Effect of Trisodium Phosphate on Salmonellae Attached to Chicken Skin", Journal of Food Protection, vol 57, no. 6, June 1994, ppg 465-469.						
	L-9	Mead, G.C., et al., "The Effectiveness of In-plant Chlorination in Poultry Processing", Br. Poult. Sci., vol 16, 1975, ppg 517-526.						
	L-10	Northcutt, J.K., et al., "Effect of Broiler Age, Feed Withdrawal, and Transportation on Levels of Coliforms, Campylobacter, Escherichia coli and Salmonella on Carcasses Before and After", Poultry Science, 2003, vol 82, ppg 169-173.						
	L-11	Patterson, J.T., "Chlorination of Water Used For Poultry Processing", British Poultry Science, vol 9, part 2, 1968, ppg 129-133.						
	L-12	"9215 C. Spread Plate Method", Microbiological Examintion (9000), ppg 9-38 - 9-40.						
	L-13	Tamblyn, K.C., et al., "Utilization of the Skin Attachment Model to Determine the Antibacterial Efficacy of Potential Carcass Treatments", Poultry Science, 1997, vol 76, ppg 1318-1323.						
	L-14	Tsai, Lee-Shin, et al., "Chlorination of Poultry Chiller Water: Chlorine Demand and Disinfection Efficiency", Poultry Science, 1992, vol 71, ppg 188-196	,					
	L-15	"Pathogen Reduction; Hazard Analysis and Critical Control Point (HACCP) Systems; Final Rule", Federal Register, July 25, 1996, vol 61, no 144, pg 38806-38814 and 38854-38855.						
	L-16	Vissers, Margret C.M., et al., "Comparison of human red cell lysis by hypochlorous and hypobromous acids: Insights into the mechanism of lysis", Biochem. J., vol 330, 1998, ppg 131-138.						

Examiner Signature	Date Considered	·

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

'Applicant's unique citation designation number (optional). Applicant is to place a check mark here if English language Translation is attached. This collection of information is required by 37 CFR 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

PTO/SB/08b(08-03)

Approved for use through 07/31/2006. OMB 0651-0031

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB

	r form 1449/PTO	DIO	OL OCUPE	Complete if Known		
			CLOSURE	Application Number	10/029,329	
STATI	EMENT B	ΥΑ	PPLICANT	Filing Date	12/21/2001	
	Fifth Suppl	eme	ntal	First Named Inventor	Howarth	
	(use as many sheet	e ac n	acassan4	Group Art Unit		
(use as many sheets as necessary)			ecessary)	Examiner Name		
Sheet	3	of	3	Attorney Docket Number	SU-7222-B	

OTHER PRIOR ART NON PATENT LITERATURE DOCUMENTS							
Examiner Initials*	itials* No.1 number(s), publisher, city and/or country where published.						
	L-17	Vissers, Margret C.M., et al., "Fatty acid chlorohydrins and bromohydrins are cytotoxic to human endothelial cells", Redox Report, vol 6, no. 1, 2001, ppg 49-55.					
	L-18	Wabeck, Charles J., "Methods to Reduce Microorganisms on Poultry", Broiler Industry, December 1994, ppg 34, 36, 38, 40, 42.					
	L-19	Yang, Hong, et al., "Survival and Death of Salmonella Typhimurium and Campylobacter jejuni in Processing Water and on Chicken Skin during Poultry Scalding and Chilling", Journal of Food Protection, vol 64, no 6, 2001, ppg 770-776.					
							
	1		1				

Examiner	Date	
Signature	Considered	

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

'Applicant's unique citation designation number (optional). ² Applicant is to place a check mark here if English language Translation is attached. This collection of information is required by 37 CFR 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.